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**PATENT**

Attorney Docket No.: A-68752-1/RFT/RMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner:	Not Yet Known
	)		
HAMMERMAN, et al.	)	Group Art Unit:	1611
	)		
Serial No.:	)		
09/472,662	)		
	)		
Filed:	)		
27 December 1999	)		
	)		
For: COMPOSITION AND METHOD	)		
FOR IMPROVING FUNCTION	)		
OF KIDNEY TRANSPLANTS	)		

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on 22 March 2000.

Signed: *Todd V. Leone*

Todd V. Leone

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box MISSING PARTS  
Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

This transmittal is in response to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed 4 February 2000.

Applicants submit the following documents, including those submitted under 37 C.F.R. § 1.47(a) and M.P.E.P. § 409.03:

1. This Transmittal of Missing Parts of Application;
2. The return copy of the Notice to File Missing Parts of Application, *Filing Date Granted* (Form PTO-1533);

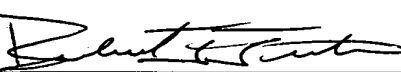
3. Our Check No. 29114 in the amount of \$410.00 in payment of the basic filing fee (\$345.00) and the missing parts surcharge (\$65.00);
4. Declaration for Patent Application;
5. Assignment with Form PTO-1595 Recordation Cover Sheet;
6. Check No. 29115 in the amount of \$40.00 in payment of the Recordation Fee;
7. Power of Attorney by Assignee;
8. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(d)) - Nonprofit Organization; and
9. Supplemental Information Disclosure Statement with Form PTO-1449 and four (4) references attached.

The Commissioner is hereby authorized to charge any additional fees that may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (our Order No. A-68752-1/RFT/RMK).

Date: 22 March 2000

Respectfully submitted,

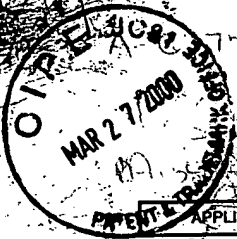
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By: 

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SF-1013319v1



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/DOCKET NO./TITLE
09/472,662	12/27/99	HAMMERMAN	A-68752-1781

022270204

RICHARD F. TRECARTIN  
FLEHR-HOBBACH TEST ALBRITTON & HERBERT  
FOURTEENBARCADERO CENTER  
SUITE 3400  
SAN FRANCISCO CA 94111-4187

DATE MAILED:

02/04/00

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

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